

## GEOMETRY 4: Topology of metric spaces.

**Definition 4.1.** Let  $M$  be a metric space and  $X \subseteq M$ . Then  $X$  is called **open** when it contains, together with any point  $x \in X$ , some  $\varepsilon$ -ball with the center in  $x$ . A subset is called **closed** if its complement is open.

**Exercise 4.1.** Prove that  $X$  is open iff for any sequence  $\{a_i\}$  converging to  $x \in X$  all but a finite number of  $a_i$  belong to  $X$ .

**Exercise 4.2.** Prove that the union of any number of open sets is open. Prove that the intersection of a finite number of closed sets is closed.

**Exercise 4.3.** Prove that the closed ball

$$\overline{B}_\varepsilon(x) = \{y \in X \mid d(x, y) \leq \varepsilon\}$$

is a closed subset.

**Exercise 4.4.** Prove that a set is closed iff it contains all its accumulation points.

**Definition 4.2.** The **closure** of a set  $A \subset M$  is the union of  $A$  and the set of all the accumulation points of  $A$ .

**Exercise 4.5.** Consider a metric space, a closed ball  $\overline{B}_\varepsilon(x)$  and an open ball  $B_\varepsilon(x)$ . Is it always true that  $\overline{B}_\varepsilon(x)$  is the closure of  $B_\varepsilon(x)$ ? Prove that the closure of any subset is always closed.

**Exercise 4.6.** Let  $A$  be a subset of  $M$  which has no accumulation points (such a subset is called **discrete**). Prove that  $M \setminus A$  is open.

**Definition 4.3.** Let  $M$  be a metric space and  $\varepsilon > 0$  be a number. Consider  $R \subseteq M$  such that  $M$  can be covered by a union of all  $\varepsilon$ -balls with center in  $R$ . Then  $R$  is called an  **$\varepsilon$ -net**.

**Exercise 4.7.** Let any sequence in  $M$  have an accumulation point. Prove that for any  $\varepsilon > 0$  in  $M$  there exists a finite  $\varepsilon$ -net.

**Hint.** Suppose that there is no such net, then for any finite set  $R$  there exists a point  $x$ , whose distance to  $R$  is more than  $\varepsilon$ . Add  $x$  to  $R$ , and, using this operation as induction step, obtain an infinite discrete subset of  $M$ .

**Definition 4.4.** Let  $X \subset M$  and  $U_i \subset M$  be a collection of open sets. If  $X \subset \cup U_i$  then it is said that  $U_i$  is a **cover of  $X$** . A collection of sets obtained from  $\{U_i\}$  by throwing out some open sets in such a way that it remains a cover, is called a **subcover**.

**Exercise 4.8.** Let  $M$  be a metric space,  $S$  be an open cover of  $M$ . Let every subsequence of elements of  $M$  have an accumulation point. Prove that there exists such an  $\varepsilon > 0$ , that any ball of radius  $< \varepsilon$  is contained in one of the sets of the cover  $S$ .

**Hint.** Suppose that for any  $\varepsilon$  there exists a point  $x_\varepsilon$  such that a corresponding  $\varepsilon$ -ball is not contained entirely in any of the sets of the cover. Consider a sequence  $\{\varepsilon_i\}$  which converges to zero and let  $x$  be an accumulation point of  $\{x_{\varepsilon_i}\}$ . Prove that  $x$  is not contained in any of the sets of  $S$ .

**Exercise 4.9 (!).** (Bolzano-Weierstrass lemma) Let  $X \subset M$  be a subset of a metric space. Prove that the following conditions are equivalent

- a. Every sequence of points from  $X$  has an accumulation point in  $X$ .
- b. Every open cover of  $X$  has a finite subcover.

**Hint.** Use problem 4.6 to deduce (a) from (b). In order to deduce (b) from (a), take an arbitrary cover  $S$ , a number  $\varepsilon$  from the problem 4.8 and a finite  $\varepsilon$ -net. Every ball of the  $\varepsilon$ -net is contained in some of the elements  $U_i \in S$ . Prove that  $\{U_i\}$  is a finite subcover.

**Definition 4.5.** Let  $M, M'$  be metric spaces, and  $f : M \rightarrow M'$  be a function. Then  $f$  is called **continuous**, if  $f$  maps any sequence that converges to  $x$  to a sequence that converges to  $f(x)$ , for all  $x \in M$ .

**Exercise 4.10 (!).** Let  $X$  be any subset of  $M$ . Prove that a function  $f : M \rightarrow \mathbb{R}, x \mapsto d(\{x\}, X)$  is continuous, where  $d(\{x\}, X)$  (distance between  $x$  and  $X$ ) is defined as  $d(\{x\}, X) := \inf_{x' \in X} d(x, x')$ .

**Definition 4.6.** Let  $M$  be a metric space,  $X \subset M$ . It is said that  $X$  is a **compact set**, if any of the statements of the problem 4.9 holds. Note that these conditions do not depend on inclusion  $X \hookrightarrow M$ , but only on the metric on  $X$ .

**Exercise 4.11 (!).** Consider the completion of  $\mathbb{Z}$  with respect to the norm  $\nu_p$  defined above (it is called “a ring of integer  $p$ -adic numbers” and is denoted  $\mathbb{Z}_p$ ). Prove that it is compact.

**Hint.** Prove that any  $p$ -adic number can be represented in the form  $\sum a_i p^i$ , where  $a_i$  are integers between 0 and  $p - 1$ .

**Exercise 4.12.** Prove that a compact subset of  $M$  is always closed.

**Hint.** Prove that it contains all its accumulation points.

**Exercise 4.13.** Prove that a closed subspace of a compact set is always compact.

**Exercise 4.14.** Prove that a union of a compact sets is compact.

**Exercise 4.15 (!).** Let  $f : X \rightarrow \mathbb{R}$  be a continuous function defined on a compact set. Prove that  $f$  achieves maximum on  $X$ .

**Definition 4.7.** Let  $X, Y$  be two subsets of a metric space. Denote the number  $\inf_{x \in X, y \in Y} (d(x, y))$  by  $d(X, Y)$ .

**Exercise 4.16 (!).** Let  $X, Y$  be two compact subsets of a metric space. Prove that there exist points  $x, y$  in  $X, Y$  such that  $d(x, y) = d(X, Y)$ .

**Definition 4.8.** A subset  $Z \subset M$  is called bounded if it is contained in a ball  $B_r(x)$  for some  $r \in \mathbb{R}, x \in M$ .

**Exercise 4.17.** Let  $Z \subset M$  be compact. Prove that it is bounded.

**Definition 4.9.** Let  $M$  be a metric space and  $X \subset M$ . The union of all open  $\varepsilon$ -balls with centers in all points of  $X$  is called the  $\varepsilon$ -**neighbourhood** of  $X$ .

**Definition 4.10.** Let  $M$  be a metric space and let  $X$  and  $Y$  be its bounded subsets. The **Hausdorff distance**  $d_H(X, Y)$  is the infimum of all  $\varepsilon$  such that  $Y$  is contained in an  $\varepsilon$ -neighborhood of  $X$  and  $X$  is contained in an  $\varepsilon$ -neighborhood  $Y$ .

**Exercise 4.18 (!).** Prove that the Hausdorff distance defines a metric on the set  $\mathcal{M}$  of all closed bounded subsets of  $M$ .

**Exercise 4.19.** Let  $X, Y$  be bounded subsets of  $M$  and  $x \in X$ . Prove that it is always the case that  $d_H(X, Y) \geq d(x, Y)$ .

**Exercise 4.20 (!).** Let  $M$  be a complete metric space. Prove that  $\mathcal{M}$  is also complete.

**Hint.** Consider a Cauchy sequence  $\{X_i\}$  of subsets of  $M$ . Let  $\mathfrak{S}$  be the set of Cauchy sequences  $\{x_i\}$  with  $x_i \in X_i$ . Let  $X$  be the set of accumulation points of sequences from  $\mathfrak{S}$ . Prove that  $\{X_i\}$  converges to  $X$ .

**Exercise 4.21 (\*).** Let  $\{X_i\}$  be a Cauchy sequence of compact subsets of  $M$  and  $X$  be its limit. Prove that  $X$  is compact.

**Hint.** One can identify  $\{X_i\}$  with its subsequence such that

$$d_H(X_i, X_j) < 2^{-\min(i,j)}. \quad (4.1)$$

Consider a sequence  $\{x_i\}$  of points from  $X$ . For every  $X_j$  find a sequence  $\{x_i(j) \in X_j\}$  such that  $d(x_i(j), x_i) = d(x_i, X_j)$ . Since  $X_j$  is compact, this sequence has an accumulation point. Choose an accumulation point  $x(0)$  in  $\{x_i(0)\}$  and replace  $\{x_i\}$  with its subsequence such that  $\{x_i(0)\}$  converges to  $x(0)$ . Then replace  $\{x_i\}, i > 0$  with a subsequence such that  $\{x_i(1)\}$  converges to  $x(1)$ . We replace  $\{x_i\}, i > k$  with a subsequence on  $k$ -the step in such a way that  $\{x_i(k)\}$  converges to  $x(k)$ . Prove that we will finally obtain a sequence  $\{x_i\}$  such that  $\{x_i(k)\}$  converges to  $x(k)$  for all  $k$ . Prove that this operation can be carried out in such a way that  $d(x_i(k), x(k)) < 2^{-i}$ . Use (4.1) to prove that  $d(x_i(k), x_i) < 2^{-\min(k,j)+2}$ . Deduce that  $\{x_i\}$  is a Cauchy sequence.

**Exercise 4.22 (!).** Let  $M$  be compact and  $X \subset M$ . Prove that for any  $\varepsilon > 0$  there is a finite set  $R \subset M$  such that  $d_H(R, X) < \varepsilon$ . (This statement can be rephrased as follows: “ $X$  allows approximation by finite sets with any prescribed accuracy”)

**Hint.** Find a finite  $\varepsilon$ -net in  $X$ .

**Exercise 4.23 (\*).** Let  $M$  be compact. Prove that  $\mathcal{M}$  is also compact.

**Hint.** Use the previous problem.

**Definition 4.11.** Let  $M$  be a metric space. It is said that  $M$  is **locally compact**, if for any point  $x \in M$  there exists a number  $\varepsilon > 0$ , such that the closed ball  $\overline{B}_\varepsilon(x)$  is compact.

**Exercise 4.24.** Let  $M$  be a locally compact metric space and  $\overline{B}_\varepsilon(x)$  be a closed compact ball. Prove that  $\overline{B}_\varepsilon(x)$  is contained in an open set  $Z$  with compact closure.

**Hint.** Cover  $\overline{B}_\varepsilon(x)$  with balls such that their closures are compact, and find a finite subcover.

**Exercise 4.25 (!).** Prove in the previous problem setting that for some  $\varepsilon' > 0$  the ball  $\overline{B}_{\varepsilon+\varepsilon'}(x)$  is also compact.

**Hint.** Take  $Z$  as in the previous problem. Take  $\varepsilon'$  to be  $d(M \setminus Z, \overline{B}_\varepsilon(x))$ .

**Definition 4.12.** Let  $(M, d)$  be a metric space. It is said that  $M$  **satisfies Hopf-Rinow condition** if for any two points  $x, y \in M$  and for any two numbers  $r_x, r_y > 0$  such that  $r_x + r_y < d(x, y)$

$$d(B_{r_x}(x), B_{r_y}(y)) = d(x, y) - r_x - r_y.$$

**Exercise 4.26 (\*\*).** If you know the definition of a Riemannian (or Finsler) manifold, prove that the Hopf-Rinow condition holds for the natural metric on such a manifold. Justify all the facts that you use in the proof.

**Exercise 4.27 (\*).** Let  $M$  be a complete locally compact metric space which satisfies Hopf-Rinow condition,  $x \in M$  be a point and  $\varepsilon > 0$  be a number such that  $\overline{B_{\varepsilon'}(x)}$  is compact for all  $\varepsilon' < \varepsilon$ . Prove that the ball  $\overline{B_\varepsilon(x)}$  is compact.

**Hint.** Let  $\{\varepsilon_i\}$ , with  $\varepsilon_i < \varepsilon$ , be a sequence that converges to  $\varepsilon$ . Use the Hopf-Rinow condition to prove that  $\{\overline{B_{\varepsilon_i}(x)}\}$  is a Cauchy sequence with respect to Hausdorff metric,  $\overline{B_\varepsilon(x)}$ . Use the fact that the limit of such a sequence is compact (you have already proved it before).

**Exercise 4.28 (\*).** (Hopf-Rinow theorem, I) Let  $M$  be a complete locally compact metric space which satisfies Hopf-Rinow condition. Prove that every closed ball  $\overline{B_\varepsilon(x)}$  in  $M$  is compact.

**Exercise 4.29.** Let  $M$  be a metric space such that every closed ball  $\overline{B_\varepsilon(x)}$  in  $M$  is compact. Prove that  $M$  is complete.

**Exercise 4.30 (\*).** Let  $M$  be a locally compact complete metric space which satisfies Hopf-Rinow condition,  $x, y \in M$ . Prove that there is a point  $z \in M$  such that  $d(x, z) = d(y, z) = \frac{1}{2}d(x, y)$ .

**Exercise 4.31 (\*).** Let  $S$  be a set of all rational numbers of the form  $\frac{n}{2^k}$ ,  $n \in \mathbb{Z}$  which belong to the interval  $[0, 1]$ . Prove in the previous problem setting that there exists a mapping  $S \xrightarrow{\xi} M$  such that  $d(\xi(a), \xi(b)) = |a - b|d(x, y)$  and  $\xi(0) = x$  and  $\xi(1) = y$ .

**Exercise 4.32 (\*).** (Hopf-Rinow theorem, II) Let  $M$  be a locally compact complete metric space which satisfies Hopf-Rinow condition,  $x, y \in M$ . Prove that the mapping  $\xi$  can be naturally extended to the completion of  $S$  with respect to the standard metric, so that the resulting mapping  $[0, 1] \xrightarrow{\bar{\xi}} M$  satisfies  $\bar{\xi}(0) = x$ ,  $\bar{\xi}(1) = y$  and  $d(\bar{\xi}(a), \bar{\xi}(b)) = |a - b|d(x, y)$  for any two reals  $a, b \in [0, 1]$ .

**Remark.** Such a mapping  $\bar{\xi}$  is called **geodesic**. The Hopf-Rinow theorem can be restated as follows: for any two points in a complete metric locally compact space which satisfies Hopf-Rinow condition there is a geodesic that connects them.

**Definition 4.13.** Such a space is called **geodesically connected**.

**Exercise 4.33 (\*).** Give an example of a metric space, which is not locally compact but geodesically connected.

**Exercise 4.34.** Let  $V = \mathbb{R}^n$  be the metric space with the standard (Euclidean) metric. Prove that geodesics in  $V$  are intervals (sets of the form  $ax + (1 - a)y$ , where  $a$  belongs to  $[0, 1] \subset \mathbb{R}$ , and  $x, y \in V$ ).

**Exercise 4.35.** Let  $V$  be a finite dimensional vector space with a norm that defines a metric  $d$  and  $d_0$  be the Euclidean metric on  $V$ . Prove that the identity mapping  $(V, d) \rightarrow (V, d_0)$  is continuous iff a unit ball in  $(V, d)$  contains a ball from  $(V, d_0)$ . Prove that the inverse mapping is continuous provided that a unit ball in  $(V, d)$  is contained in a ball from  $(V, d_0)$ .

**Exercise 4.36.** In the previous problem settings, consider a function  $D(x) := d(0, x)$  on a unit sphere  $S^{n-1} \subset V$

$$S^{n-1} = \{x \in V \mid d_0(0, x) = 1\}$$

Let  $D$  be a continuous function on  $S^{n-1}$ . Prove that the mapping  $(V, d) \rightarrow (V, d_0)$  is continuous and the inverse mapping is continuous.

**Hint.** Use the fact that a continuous function on a compact set achieves its minimum and maximum values.

**Exercise 4.37 (\*\*).** Prove that  $D$  is a continuous function.

**Exercise 4.38.** Let  $V$  be a finite dimensional vector space with a norm that defines the metric  $d$ . Suppose that the identity mapping  $(V, d) \rightarrow (V, d_0)$  is continuous and the inverse mapping is also continuous. Prove that  $(V, d)$  is complete and locally compact.

**Exercise 4.39 (\*).** Let  $d$  be the metric on  $\mathbb{R}^n$  associated with the norm  $(x_1, x_2, \dots) \mapsto \max |x_i|$ . Prove that it satisfies the Hopf-Rinow condition. Prove that  $\mathbb{R}^n$  with such a metric is geodesically connected. Describe how the geodesics look like.

**Exercise 4.40 (\*).** Is it true that the metric  $d$  defined by a norm always satisfies the Hopf-Rinow condition?

**Definition 4.14.** Let  $X$  be a metric space and  $0 < k < 1$  be a real number. A mapping  $f : X \rightarrow X$  is called **contraction mapping with a contraction coefficient  $k$**  if  $kd(x, y) \geq d(f(x), f(y))$ .

**Exercise 4.41 (!).** Let  $X$  be a metric space and  $f : X \rightarrow X$  be a contraction mapping. Prove that for any  $x \in X$  the sequence  $\{a_i\}$ ,  $a_0 := x, a_1 := f(x), a_2 := f(f(x)), a_3 := f(f(f(x))), \dots$  is Cauchy sequence.

**Hint.** Use the fact that  $d(a_i, a_{i+1}) = k^i d(x, f(x))$ , and deduce that the series  $\sum d(a_i, a_{i+1})$  converges.

**Exercise 4.42 (!).** (The Contraction Mapping Theorem) Let  $X$  be a complete metric space and  $f : X \rightarrow X$  be a contraction mapping. Prove that  $f$  has a fixed point.

**Hint.** Find the limit of the sequence  $x, f(x), f(f(x)), f(f(f(x))), \dots$