

## GEOMETRY 5: Set-theoretic topology.

**Definition 5.1.** Consider a set  $M$  and a collection of distinguished sets  $S \subset \wp(M)$  called **open subsets**. A pair  $(M, S)$  (and, by abuse of notation,  $M$  itself) is called a **topological space**, if the following conditions are met:

1. An empty set and  $M$  are open;
2. The union of any number of open sets is open;
3. The intersection of a finite number of open sets is open.

A mapping  $\varphi : M \rightarrow M'$  of topological spaces is called **continuous**, if the preimage of every open set is open. Continuous mappings are also called **morphisms** of topological spaces. An **isomorphism** of topological spaces is a morphism  $\varphi : M \rightarrow M'$  such that there is an inverse morphism  $\psi : M' \rightarrow M$  (i.e.  $\varphi \circ \psi$  and  $\psi \circ \varphi$  are identity morphisms). An isomorphism of topological spaces is called **homeomorphism**.

A subset  $Z \subset M$  is called **closed**, if its complement is open. A **neighborhood** of a point  $x \in M$  is an open subset of  $M$  which contains  $x$ . A **neighborhood** of a subset  $Z \subset M$  is an open subset of  $M$  that contains  $Z$ .

**Exercise 5.1.** Prove that a composition of continuous mappings is continuous.

**Exercise 5.2 (!).** Consider a set  $M$  and let  $S$  be a set of all subsets of  $M$ . Prove that  $S$  defines a topology on  $M$ . This topology is called **discrete**. Describe a set of all continuous mappings from  $M$  to a given topological space.

**Exercise 5.3 (!).** Consider a set  $M$  and let  $S$  be the set containing an empty set and  $M$  itself. Prove that  $S$  defines a topology on  $M$ . This topology is called **codiscrete**. Describe a set of all continuous mappings from  $M$  to a space with discrete topology.

**Exercise 5.4.** Give an example of a continuous bijection between topological spaces that is not a homeomorphism.

**Exercise 5.5.** Consider a subset  $Z$  of a topological space  $M$ . Open subsets of  $Z$  are defined to be intersections of the form  $Z \cap U$ , where  $U$  is open in  $M$ .

- a. Prove that this defines a topology on  $Z$ . Prove that a natural embedding  $Z \hookrightarrow M$  is continuous.
- b. (\*) Can all the continuous embeddings be obtained in this way?

**Definition 5.2.** Such a topology on  $Z \subset M$  is said to be **induced by  $M$** . We will consider any subset of any topological space as a topological space with induced topology.

**Definition 5.3.** Consider a topological space  $M$ , and let  $S_0$  be such a collection of open sets such that any open set can be represented as a union of sets from  $S_0$ . Then  $S_0$  is called a **base** of  $M$ .

**Exercise 5.6.** Describe all bases of a space  $M$  with discrete topology; of a space  $M$  with codiscrete topology.

**Definition 5.4.** Consider a metric space  $M$ . Recall that a subset  $U \subset M$  is called **open**, if for every point  $u \in U$ ,  $U$  contains a ball of radius  $\varepsilon > 0$  with the center  $u$ .

**Exercise 5.7.** Prove that this definition defines a topology on a metric space.

**Definition 5.5.** A topological space is called **metrizable** if it can be obtained from a metric space as described above.

**Exercise 5.8.** Prove that a discrete space is metrizable and a codiscrete space is not.

**Exercise 5.9.** Prove that open balls in a metric space  $M$  are open. Prove that open balls define a base of topology on  $M$ .

**Exercise 5.10 (!).** Consider a topological space  $M$  and two topologies  $S, S'$  on  $M$ . Suppose that for every point  $m \in M$  and every neighborhood  $U' \ni m$  which is open in the topology  $S'$  there is a neighborhood  $U \ni m, U \subset U'$ , which is open in the topology  $S$ . Prove that the identity mapping  $(M, S) \xrightarrow{i} (M, S')$  is continuous. Give an example where  $i$  is not a homeomorphism.

**Remark.** It is said in this case that the topology defined by  $S'$  is **stronger** than the topology defined by  $S$ .

**Exercise 5.11.** Consider the space  $\mathbb{R}^n$  with a norm  $\nu$  (see GEOMETRY 3). This norm defines a metric and hence a topology on  $\mathbb{R}^n$ . Denote this topology by  $S_\nu$ . Let  $\nu, \nu'$  be two norms satisfying  $C^{-1}\nu'(x) < \nu(x) < C\nu'(x)$  for a fixed  $C \in \mathbb{R}$ . Prove that the identity mapping on  $\mathbb{R}^n$  defines a homeomorphism  $(\mathbb{R}^n, S_\nu) \longrightarrow (\mathbb{R}^n, S_{\nu'})$ .

**Hint.** Use the previous problem.

**Exercise 5.12 (\*).** Consider two norms  $\nu, \nu'$  on  $\mathbb{R}^n$  such that the identity mapping on  $\mathbb{R}^n$  defines a homeomorphism  $(\mathbb{R}^n, S_\nu) \longrightarrow (\mathbb{R}^n, S_{\nu'})$ . Prove that there exists a constant  $C$  such that  $C^{-1}\nu'(x) < \nu(x) < C\nu'(x)$ .

**Exercise 5.13 (\*).** Consider a finite-dimensional vector space  $V$  endowed with a symmetric positive bilinear form  $g$ . We will consider  $V$  as a metric space with the metric  $d_g$ , constructed in GEOMETRY 3. Denote by  $S_g$  the topology defined by  $d_g$ . Prove that the corresponding topology on  $V$  does not depend upon  $g$ , i.e. for any (symmetric positive bilinear)  $g, g'$ , the identity map on  $V$  is a homeomorphism  $(V, S_g) \longrightarrow (V, S_{g'})$ .

**Exercise 5.14 (\*\*).** Consider a finite-dimensional vector space  $V$  with norm  $\nu$ . Prove that the topology  $S_\nu$  does not depend on norm  $\nu$ : the identity map on  $\mathbb{R}^n$  is a homeomorphism  $(\mathbb{R}^n, S_\nu) \longrightarrow (\mathbb{R}^n, S_{\nu'})$ . Is it true for a infinite-dimensional  $V$ ?

**Definition 5.6.** Consider a metric  $d$  on  $\mathbb{R}^n$ , defined by the norm

$$|(\alpha_1, \dots, \alpha_n)| = \sqrt{\sum_i \alpha_i^2}.$$

The topology on  $\mathbb{R}^n$ , defined by  $d$  is called the **natural** topology. The **natural topology** on subsets of  $\mathbb{R}^n$  is the topology induced by the natural  $\mathbb{R}^n$ -topology.

**Exercise 5.15.** Consider  $\mathbb{R}$  with the natural topology. Consider a space  $M$  with discrete topology and a space  $M'$  with a codiscrete topology. Find the set of all continuous maps

- a. from  $\mathbb{R}$  to  $M$

- b. from  $M$  to  $\mathbb{R}$
- c. from  $M'$  to  $\mathbb{R}$
- d. from  $\mathbb{R}$  to  $M'$ .

**Exercise 5.16.** Consider a mapping  $\varphi : M \rightarrow M'$ , where  $M, M'$  are topological spaces. Is it true that the continuity of  $\varphi$  implies that the preimage of any closed set is closed? Is it true that if a preimage of any closed set is closed then  $\varphi$  is continuous?

**Exercise 5.17.** Give an example of a continuous mapping of topological spaces such that the image of an open set is not open. Give an example of a continuous mapping of topological spaces such that the image of a closed set is closed.

**Definition 5.7.** Consider a topological space  $M$  and arbitrary  $Z \subset M$ . The intersection of the closed sets of  $M$  containing  $Z$  is denoted by  $\overline{Z}$  and is called the **closure** of  $Z$ .

**Exercise 5.18.** Prove that  $\overline{Z}$  is closed.

**Definition 5.8.** Consider a topological space  $M$ . The following conditions T0-T4 are called **separation axioms**.

- T0.** Let  $x \neq y \in M$ . Then at least one of the points  $x, y$  has a neighborhood containing the other point.
- T1.** Every point in  $M$  is closed.
- T2.** For any  $x \neq y \in M$  there are non-intersecting neighborhoods  $U_x, U_y$ .
- T3.** For any point  $y \in M$ , every  $M \supseteq U \ni y$  contains an open neighborhood  $U' \ni y$  such that  $U$  contains the closure of  $U'$ .
- T4.** For any closed subset  $Z \in M$ , any neighborhood  $U \supset Z$  contains an open neighborhood  $U' \supset Z$  such that  $U$  contains the closure of  $U'$ .

The condition  $T_2$  is widely known as the **Hausdorff axiom**. A topological space that satisfies the  $T_2$  condition is called a **Hausdorff**.

**Exercise 5.19.** Prove that the condition  $T_1$  is equivalent to the following one: for any two distinct points  $x, y \in M$ , there exists a neighborhood of  $y$ , which does not contain  $x$ .

**Exercise 5.20.** Prove that the condition  $T_4$  is equivalent to the following one: any two distinct closed sets  $X, Y \subset M$  have two non-intersecting neighborhoods.

**Exercise 5.21.** Let  $M$  be a topological space. Consider an equivalence relation on  $M$  defined the following way:  $x$  is equivalent to  $y$  iff  $x \in \overline{\{y\}}$  and  $y \in \overline{\{x\}}$ . Denote the set of equivalence classes as  $M'$ .

- a. Verify that this is indeed an equivalence relation. Prove that  $M$  satisfies the  $T_0$  iff  $M = M'$ .
- b. Define  $U \subset M'$  to be open iff its preimage w.r.t. the mapping  $M \rightarrow M'$  is open. Prove that this defines a topology on  $M'$ . Does it satisfy the  $T_0$  condition?
- c. Prove that the open subsets of  $M$  are exactly the preimages of the open subsets of  $M'$ .

d. Suppose that  $M$  has the codiscrete topology. What is  $M'$ ?

**Exercise 5.22.** Are  $T_0 - T_4$  conditions satisfied by a space with the discrete topology? With the codiscrete topology?

**Exercise 5.23.** Prove that  $T_0 - T_4$  are satisfied by  $\mathbb{R}$ .

**Exercise 5.24.** Prove that  $T_1$  implies  $T_0$  and that  $T_2$  implies  $T_1$ .

**Exercise 5.25.** Give an example of a space that does not satisfy the  $T_1$  condition. Give an example of a non-Hausdorff space such that all the singleton sets are closed in it.

**Exercise 5.26 (\*).** Give an example of a space that satisfies the  $T_1$  condition such that any two non-empty open sets have a non-empty intersection.

**Exercise 5.27 (\*).** Prove that  $T_2$  follows from  $T_1$  and  $T_3$ .

**Exercise 5.28 (\*).** Give an example of a space that satisfies  $T_4$  but does not satisfy  $T_1$ .

**Exercise 5.29.** Consider a metrizable topological space. Prove that it satisfies conditions  $T_1, T_2, T_3$ .

**Exercise 5.30 (\*).** Consider a metrizable topological space. Prove that it satisfies the condition  $T_4$ .

**Exercise 5.31 (\*).** Let  $M$  be a finite set.

- Find all topologies on  $M$  that satisfy the  $T_1$  condition.
- Are there any topologies on  $M$  that do not satisfy  $T_1$ ?
- Are there any topologies on  $M$  that do not satisfy  $T_1$ , but satisfy  $T_0$ ?

**Definition 5.9.** A set  $M$  is said to be **partially ordered**, if there is a binary relation  $x \leq y$  (“ $x$  less than or equal  $y$ ”) defined on it such that:

- If  $x \leq y$  and  $y \leq z$ , then  $x \leq z$ .
- If  $x \leq y$  and  $y \leq x$ , then  $x = y$ .

**Exercise 5.32 (\*).** a. Consider a partially ordered set  $M$ ; say that  $S \subset M$  is open if together with any  $x \in S$  it contains all  $y \in M$  satisfying  $y \leq x$ . Prove that this defines a topology on  $M$ . When does this topology satisfy the  $T_0$  condition? The  $T_1$  condition?

- Consider a finite set  $M$  and a topology on  $M$  that satisfies the  $T_0$  condition. Prove that it is induced by a partial order on  $M$ .

**Definition 5.10.** Let  $Z \subset M$  be a subset of a topological space. A subset  $Z$  is called **dense**, if  $Z$  has a non-empty intersection with every open subset of  $M$ .

**Exercise 5.33 (!).** Prove that  $Z$  is dense iff the closure  $\overline{Z}$  is the entire  $M$ .

**Exercise 5.34.** Find all dense subsets in a topological space with the discrete topology; with the codiscrete topology.

**Exercise 5.35.** Prove that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .

**Exercise 5.36 (!).** A subset  $Z$  in a topological space  $M$  is called **nowhere dense**, if for every open  $U \subset M$  the subset  $Z \cap U$  is not dense in  $U$ . Prove that  $Z$  is nowhere dense iff  $M \setminus \overline{Z}$  is dense in  $M$ .

**Exercise 5.37 (\*).** Construct a nowhere dense subset of the interval  $[0, 1]$  (endowed with the natural topology) of the continuum cardinality.

**Exercise 5.38.** Find all nowhere dense subsets in a space with discrete topology; with codiscrete topology.

**Definition 5.11.** Let  $M$  be a topological space and  $x \in M$  be an arbitrary point. A neighborhood base of  $x$  is a collection  $B$  of neighborhoods of  $x$  such that any neighborhood  $U \ni x$  contains some neighborhood from  $B$ .

**Exercise 5.39.** Consider a collection  $B$  of open subsets of a topological space  $M$  such that for any  $x \in M$  the collection of all  $U \in B$  containing  $x$  is a neighborhood base of  $x$ . Prove that  $B$  is a base of the topology of  $M$ .

**Definition 5.12.** Consider a topological space  $M$ . One can impose two countability conditions on  $M$ . If every point of  $M$  has a countable neighborhood base, then it is said that  $M$  satisfies **the first countability axiom**. If  $M$  has a countable base of open sets, then it is said that  $M$  satisfies **the second countability axiom**, or that  $M$  is a **space with a countable base**. If there exists a countable dense subset of  $M$  then it is said that  $M$  is **separable**.

**Exercise 5.40.** Consider a space  $M$  with discrete topology. Prove that  $M$  satisfies the first countability axiom.

**Exercise 5.41.** Consider a topological space  $M$  with a countable base. Prove that it is separable.

**Exercise 5.42 (\*).** Consider a separable topological space  $M$ . Prove that  $M$  has a countable base.

**Exercise 5.43 (!).** Consider a metrizable topological space. Prove that it has a countable neighborhood base for every point.

**Exercise 5.44.** Construct a non-separable metrizable topological space.

**Exercise 5.45 (\*\*).** Give an example of a countable Hausdorff space without a countable base.

## 5.1 Topology and convergence

Topological spaces were invented as a language to speak about continuous functions. In GEOMETRY 4 we defined a continuous function as a function that preserves limits of convergent sequences. One can consider topology from the axiomatic viewpoint as above, or from the point of view of geometric intuition, by giving a class of convergent sequences on a space to define its topology and considering a mapping continuous if it preserves limits.

The second approach (despite all its obvious advantages) encounters set-theoretical problems: if the space does not have a countable base, then one has to use well-founded uncountable sequences. We are going to work mostly with spaces which have a countable neighborhood base and it is convenient to define topology and continuity via limits of sequences.

**Definition 5.13.** Let  $M$  be a topological space and  $Z \subset M$  be an infinite subset. A point  $x \in M$  is called an **accumulation point** of  $Z$ , if every neighborhood of  $x$  contains some point  $z \in Z$ . A **limit** of a sequence  $\{x_i\}$  is defined to be a point  $x$  such that every neighborhood of  $x$  contains almost all  $x_i$ 's. A sequence is called **convergent** if it has a limit.

**Exercise 5.46.** Find all convergent subsequences in a space with discrete topology; in a space with codiscrete topology.

**Exercise 5.47.** Consider a Hausdorff space  $M$ . Prove that every sequence has at most one limit.

**Exercise 5.48 (\*).** Is the converse true (i.e. does it follow from the uniqueness of a limit that the space is Hausdorff)? What if  $M$  has a countable neighborhood base of its point?

**Exercise 5.49.** Consider a space  $M$  where any sequence has at most one limit. Prove that  $M$  satisfies the separation axiom  $T_1$ .

**Exercise 5.50.** Consider a continuous mapping  $f : M \rightarrow M'$  and a subset  $Z \subset M$ . Prove that  $f$  maps accumulation points of  $Z$  to accumulation point of  $f(Z)$ . Prove that  $f$  maps limits to limits.

**Exercise 5.51 (!).** Consider a mapping that maps accumulation points to accumulation points. Prove that it is continuous.

**Exercise 5.52.** Consider a space  $M$  with a countable neighborhood base for every point, and an arbitrary  $Z \subset M$ . Prove that the closure of  $Z$  is the set of limits of all sequences from  $Z$ .

**Exercise 5.53 (!).** Consider topological spaces  $M, M'$  with a countable neighborhood base for every point and a mapping  $f : M \rightarrow M'$  that preserves limits of sequences. Prove that  $f$  is continuous.

**Hint.** Use the previous problem.

**Exercise 5.54 (\*).** What happens if we do not require in the previous problem that neighborhood bases are countable in  $M$ ? In  $M'$ ?

**Exercise 5.55 (\*).** Consider a set  $M$  and let some sequences of elements of  $M$  be declared to **converge** to points from  $M$  (it is denoted like this:  $x \in \lim x_i$ ; note that there can be more than one limit of a sequence<sup>1</sup>). Let the following conditions hold for the notion of convergence:

- (i) The limit of a sequence  $x, x, x, x, x, \dots$  contains  $x$ .
- (ii) If  $x \in \lim x_i$  then the limit of any subsequence  $\{x_{i_\ell}\}$  is nonempty and contains  $x$ .
- (iii) Consider an infinite number of elements of a sequence  $\{x_i\}$ . Let us permute them and denote the result by  $\{y_i\}$ . If  $x \in \lim x_i$  then  $x \in \lim y_i$ .
- (iv) If  $x \in \lim x_i$  and  $x \in \lim y_i$  then the sequence  $x_1, y_1, x_2, y_2, \dots$  converges to  $x$ .
  - a. Define closed subsets of  $M$  as these  $Z \subset M$  that contain the limits of all sequences  $\{x_i\} \subseteq Z$ . Define open sets as complements of closed sets. Prove that this defines a topology on  $M$ .

---

<sup>1</sup>Thus we talk here about limits of sequences as *sets* of points. (DP)

GEOMETRY 5: Set-theoretic topology.

- b. Consider a topology  $S$  on  $M$  with a countable neighborhood base for every point. Define the limits of sequences with respect to this topology. Prove that conditions (i)-(iv) hold for this notion of convergence. Let  $S'$  be a topology obtained from limits with the help of construction in (a). Prove that the topologies  $S'$  and  $S$  coincide.
- c. Take a uncountable set with the following topology: open sets are complements of finite sets (this topology is called cofinite). Consider a topology  $S'$  defined by limits as above. Describe  $S'$ . Prove that  $S'$  does not satisfy the first countability axiom.