

# Gromov-Hausdorff limits via model theory

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## Gromov-Hausdorff distance

Let  $(X, d_1), (Y, d_2)$  be two metric spaces. The Gromov-Hausdorff distance between  $X$  and  $Y$  is the infimum of numbers  $\varepsilon$  such that there exist isometric embeddings  $X \hookrightarrow Z, Y \hookrightarrow Z$  such that  $X$  is in an  $\varepsilon$ -neighbourhood of  $Y$  and  $Y$  is in an  $\varepsilon$ -neighbourhood of  $X$ .

Properties:

1. The GH distance between a metric space and its completion is 0
2. GH distance satisfies the axioms of a metric on the “set” of isomorphism classes of complete compact metric spaces
3. Finite metric spaces are dense among compact metric spaces

Examples: points on a circle, annulus  $\rightarrow$  circle.

Convergence criterion: let  $\mathcal{C}$  be a class of metric spaces such that the diameter is uniformly bounded above and for every  $\varepsilon > 0$  there exists a number  $N(\varepsilon)$  such that any space  $X \in \mathcal{C}$  admits an  $\varepsilon$ -dense subset of cardinality less than  $N(\varepsilon)$ . Then every sequence of elements of  $\mathcal{C}$  has a GH-converging subsequence.

**Gromov compactness theorem:** the above is true for a class  $\mathcal{C}$  of Riemannian manifolds with Ricci curvature uniformly bounded below and diameter uniformly bounded above.

## structures, definable sets

Model theory studies definable sets in structures. Let  $M$  be a set, and let  $R_1, R_2, \dots$  be a collection of subsets of Cartesian powers of  $M$  — they are called *atomic relations*. A definable subset is an element of the collection of subsets of Cartesian powers of  $M$  closed under the following operations:

1. Boolean operations ( $\cup, \cap, \setminus$ )
2. Cartesian products
3. images under coordinate projections  $M^{n+k} \rightarrow M^n$
4. taking fibres of the projections  $M^{n+k} \rightarrow M^n$

A language is a choice of names and “arities” of atomic relations. A definable subset can be specified by a formula in a language (cf. solution sets of polynomials).

Examples:

1.  $(\mathbb{C}, 0, 1, +, \times)$ . Definable sets are constructible sets (Boolean combinations of closed).
2.  $(\mathbb{R}, 0, 1, +, \times)$ . Definable sets are semi-algebraic sets (Boolean combinations of sets defined by polynomial equations and inequalities).

## ultraproducts

A *filter*  $F$  on a set  $X$  is a collection of subsets of  $X$  closed under intersections and taking supersets. An *ultrafilter* is a maximal filter. A *principal ultrafilter* is one of the form  $\{ Y \subset X \mid x \in Y \}$ .

Given a collection of structures  $(M_i)_{i \in I}$  in a fixed language and an ultrafilter  $\mathcal{U}$  on  $I$  one defines the *ultraproduct* of  $M_i$ -s as the quotient of  $\prod_{i \in I} M_i$  by the relation

$$(x_i) \sim (y_i) \text{ iff } \{ i \in I \mid x_i = y_i \} \in \mathcal{U}$$

with atomic relations defined as follows

$$R_j(\bar{x}_i) \text{ iff } \{ i \in I \mid \bar{x}_i \in R_j^{M_i} \} \in \mathcal{U}$$

This carries over to formulas, i.e.

$$\varphi(\bar{x}_i) \text{ iff } \{ i \in I \mid \bar{x}_i \in \varphi^{M_i} \} \in \mathcal{U}$$

for a formula  $\varphi$ .

Example:  $\prod_{\mathcal{U}} \mathbb{R}$  is a real closed field. The reals are naturally embedded into it (the image is called *standard reals*), there are infinitely big elements and infinitesimal elements. There exists a standard part map  $st : \mathcal{O} \rightarrow \mathbb{R}$  from the convex hull of reals that kills the infinitesimals ( $\mathcal{O}$  is actually a value ring, and  $st$  is the residue map).

## GH-limits as reductions

Let  $X \rightarrow T$  be a family of complete metric spaces of bounded diameter definable (i.e.  $X$  is definable, and metric is uniformly definable) in a structure  $M$  that contains the reals, and suppose that the cardinality of  $\varepsilon$ -dense net is bounded uniformly for elements of the family.

Let  ${}^*M$  be an ultrapower of  $M$ . Consider an equivalence relation on fibres  $X_t$ :

$$x \sim y \text{ iff } \text{st } d(x, y) = 0$$

Let  $t \in T$  be a non-standard point of  $T$ . Then  $X_t / \sim$  is a Gromov-Hausdorff limit of a sequence of metric spaces  $(X_{t_i}, d_{t_i})$ .

Proof: for any  $\varepsilon$  there is an  $\varepsilon$ -dense finite subset  $x_1, \dots, x_n$  of  $X_t$ . The property of having an  $\varepsilon$ -dense set of given cardinality is expressible as a first-order formula depending on parameter  $t$ . By evaluating this formula in  $M$  we get  $X_{t_i}$  which is distance at most  $2\varepsilon$  from the reduction  $X_t / \sim$ .

## maximally degenerate families

Recall that a Hermitian manifold is a complex manifold  $X$  with a Hermitian metric  $h$ , which can be written down as  $h = g - i\omega$ ,  $g = 1/2(h + \bar{h})$ ,  $\omega(x, y) = g(Ix, y)$ , so  $g$  is a Riemannian metric and  $\omega$  is an anti-symmetric form. If  $\omega$  closed,  $X$  is called *Kähler*.

We will be considering families of triples  $(X_t, \omega_t, \Omega_t)$  where parameter  $t$  belongs to the punctured disc. For every  $t$ ,  $X_t$  is a Kähler manifold,  $\omega_t \in H^0(X_t, \mathcal{A}^{1,1})$  is the fundamental form of  $X_t$ , and  $\Omega_t$  is a distinguished nowhere degenerate holomorphic  $n$ -form. The cohomology class of  $\omega_t$  is assumed to be ample.

The volume of  $X_t$  can be computed as

$$\int_{X_t} \Omega_t \wedge \bar{\Omega}_t = C \log |t|^m \cdot |t|^{2l} (1 + o(1))$$

as  $t \rightarrow 0$ . If  $m = n$  then one says that the family is *maximally degenerate*.

Example: Tate curve.

$$X_t : \mathbb{C} / \langle 1, \frac{\log t}{2\pi i} \rangle. \quad \Omega_t = dz, \omega_t = dz \wedge d\bar{z}.$$

## Conjectures of Konsevich and Soibelman

Let  $M$  be an  $n$ -dimensional manifold. An *integral affine structure* is given by an atlas  $\{U_i, \varphi_i\}$  such that  $\varphi_i \circ \varphi_j^{-1} \in \mathbb{R}^n \rtimes \mathrm{GL}_n(\mathbb{Z})$ .

Examples: torus. focus-focus singularity

K-S conjectures (“Homological mirror symmetry and torus actions” ’2001):  
Let  $(X_t, \omega_t, \Omega_t)$  be a maximally degenerate family of  $n$ -dimensional Calabi-Yau manifolds. Let  $d_t$  be the geodesic distance on  $X_t$  and  $D_t$  be the diameter of  $X_t$  wrt this distance. Then there exists a GH limit  $(\bar{Y}, d)$  of a sequence  $(X_{t_i}, d_{t_i}/D_{t_i})$  such that

1.  $\dim \bar{Y} = n$  and there exists a dense subset  $Y \subset \bar{Y}$  such that  $\dim(\bar{Y} \setminus Y) = 2$
2. there is an integral affine structure on  $Y$
3. the Riemannian metric on  $Y$  comes from a potential  $g_{ij} = \det \frac{\partial^2 K}{\partial x_i \partial x_j}$
4. the metric satisfies real Monge-Ampere equation:  $\det(g_{ij}) = C$

## Calabi-Yau manifolds

If one considers the volume of a thin solid cone of radius  $\varepsilon$  in the direction  $\xi$  then Ricci curvature is a symmetric 2-tensor having property that  $Ric(\xi, \xi)$  measures how much bigger or smaller is the volume of this cone compared to the volume of a similar cone in the Euclidean space.

On a Kähler manifold Ricci curvature can be computed as follows: consider the Hermitian metric on the canonical bundle  $\Omega_X^n = \Lambda T^*X$  given by  $\|\alpha\| = \frac{\alpha \wedge \bar{\alpha}}{\omega^n}$  then  $Ric = \partial\bar{\partial} \log \|\alpha\|$  where  $\alpha \in H^0(X, \Omega_X^n)$ .

**Theorem** (Yau). Let  $X$  be a Kähler manifold with fundamental form  $\omega$  and trivial canonical bundle. Then there exists a unique up to rescaling Kähler metric with fundamental form cohomologous to  $\omega$  which is Ricci-flat.

Kähler manifolds with Ricci-flat metric are called *Calabi-Yau manifolds*.



## **o-minimal structures**

o-minimal structure is a structure  $M$  that has a total order relation on its universe  $M$  such that the only definable subsets of  $M$  are unions of generalised open intervals (ends can be  $+\infty, -\infty$ ) and points.

Examples:

1.  $(\mathbb{R}, +, \times, 0, 1)$  (Tarski)
2.  $\mathbb{R}_{an}$ : add graphs of analytic functions restricted to the unit cube  $[0, 1]^n$  (van den Dries)
3.  $\mathbb{R}_{Pfaff}$ : add solutions to Pfaffian systems of ODEs — more on it later (van den Dries, Wilkie, Speissegger)

Some properties:

1. A definable function has finitely many isolated zeroes
2. A definable function  $R \rightarrow R$  is differentiable away from finitely many points, infinitely differentiable away from countably many points
3. A definable set is a union of cells

## Robinson's asymptotic fields

Let  ${}^*\mathbb{R}$  be an ultrapower of  $\mathbb{R}$ . Let  $\alpha$  be an infinitely big element of  ${}^*\mathbb{R}$ . Define

$$O(\alpha) := \cup[-\alpha^n, \alpha^n] \quad \mathfrak{m}(\alpha) := \cap[-\alpha^{-n}, \alpha^{-n}] \quad K := O(\alpha)/\mathfrak{m}(\alpha)$$

One checks that  $O(\alpha)$  is a convex value ring with the maximal ideal  $\mathfrak{m}(\alpha)$ . Let  $K$  be the residue field. One can use a similar construction for an o-minimal expansion of reals  $R$ , closing  $O(\alpha)$  under definable functions.

**Theorem** (van den Dries).  $K$  is an ultrapower of  $R$ . In particular, there is a natural interpretation of atomic relations of  $R$  on  $K$ .

On  $K$  one can define a real-valued valuation

$$v(x) = -st \log_{\alpha} |x|$$

Indeed, one checks that  $st \log_{\alpha} |x + y| \leq \max\{st \log_{\alpha} |x|, st \log_{\alpha} |y|\}$ .

An o-minimal structure  $R$  which expands the real numbers is called *polynomially bounded* if for any definable function  $f : R \rightarrow R$  there exists a polynomial  $p(x)$  such that  $f(x) \leq p(x)$  for sufficiently big  $x$ .

**Theorem** (van den Dries, Loewenberg). The induced structure on the value group of  $K$  is generated by  $F$ -linear maps where  $F$  is the “field of exponents” of  $R$  (for  $\mathbb{R}_{an}$  it is  $\mathbb{Q}$ , for example).

## Tate curve in detail

Consider the family of elliptic curves  $X_t : \mathbb{C}^\times / q_t^{\mathbb{Z}}$  where  $|q_t| \rightarrow 0$  as  $|t| \rightarrow 0$ . Let  $\Omega_t = dz$  and  $\omega_t = \frac{1}{|z|} dz \wedge d\bar{z}$ .

The Riemannian metric is  $g = \frac{1}{|z|} dz \otimes d\bar{z}$ .

Distance function on radial geodesics is

$$d(z_1, z_2) = \left| \int_{|z_1|}^{|z_2|} \frac{dx}{|x|} \right| = |\log |z_2| - \log |z_1||$$

The diameter of  $X_t$  is thus  $\log |q_t|$ , and supposing for example  $q_t = t$  the normalized distance is

$$d(z_1, z_2) = |\log_{|t|} |z_2| - \log_{|t|} |z_1||$$

## Hopes

(credit: Ehud Hrushovski)

As exemplified by the Tate curve: Gromov-Hausdorff limit is the quotient of a set definable in the Robinson field  $K$  by the relation  $x \sim y$  iff  $st \log_{|t|} \frac{|y|}{|x|} = 0$ . The quotient is naturally identified with  $[0, 1] \subset \mathbb{R}$ , with ends glued.

One hopes to have a situation similar to Tate curve example in general. Start with an o-minimal expansion of reals so that  $X_t, \omega_t$  is definable, then GH limit is of the form  $X / \sim$ , where  $\sim$  is definable in the Robinson field associated to a polynomially bounded expansion of the real field, and the quotient can be embedded into  $\Gamma^n$ . As a result we have a natural affine structure on the Gromov-Hausdorff limit.